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# **Autoimmunity Reviews**

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#### Review



# Sjogren's syndrome: Everything you always wanted to know about genetic and epigenetic factors

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#### ARTICLE INFO

# ABSTRACT

Keywords:
Primary Sjögren's syndrome
Autoimmune disease
Genetic
Epigenetic
HLA
Interferon
RNA

Primary Sjögren's syndrome (pSS) is a chronic, systemic autoimmune disease characterized by a wide spectrum of glandular and extra-glandular features. Genetic and epigenetic factors play an important role in the disease susceptibility and phenotype. There are a multitude of genes that have been identified as implicated in the pathogenesis of pSS, both in HLA and extra-HLA regions with a strong contribution given by genes in interferon signalling pathways. Among the HLA alleles, the most consistent associations have been found with DR2 and DR3 alleles at the DRB1 locus. Moreover, several gene variants outside the MHC locus are in genes involved in NF- $\kappa$ B signalling, B- and T-cell function and methylation processes possibly responsible for lymphomagenesis. There is still a lack of knowledge on precise genetic patterns and prediction models of diseases, and data on pharmacogenetics is scarce. A comprehensive summary of the common genetic factors and an extensive analysis of novel epigenetic aspects is provided, together with a view on the relationships between novel therapeutic agents for pSS and genetic targets in signalling pathways, aiming at improving tailored treatment strategies in the view of a more personalized medicine.

#### 1. Introduction

Primary Sjögren's syndrome (pSS) is a chronic, systemic autoimmune disease characterized by a wide spectrum of glandular and extraglandular features. As a result, the clinical presentation is heterogeneous and can vary from sicca symptoms (xerostomia and xerophtalmia) to systemic disease that may affect virtually any organ system [1]. pSS has been closely associated with an enhanced risk of developing lymphoma which can occur in around 2–5 % of cases and represents the most severe complication that a patient may develop [2].

The histological hallmarks are a lymphocytic infiltration of the exocrine glands and B-cell hyperactivity [3]. Antinuclear antibodies (ANA) are the most frequently detected autoantibodies, anti-Ro/SSA the most specific [4]. The disease affects predominantly women, suggesting a hormonal influence on the pathogenesis. Other contributors include

genetic, environmental, and immune factors. It is likely that in a genetically predisposed individual an environmental trigger - including certain infections – may determine epigenetic modifications [5]. These factors may result in a progressive dysfunction of the salivary gland cells which will be the main site of chronic inflammation linked to the hyperactivity of B lymphocytes and antibodies [6]. The contribution of genetic factors is supported by the evidence that about 35 % of patients with pSS have at least one relative with the same syndrome or another related autoimmune disease and that twins have a further increased risk of diseases [7]. There are a multitude of pathways that have been implicated in the pathogenesis of pSS, involving genes both in HLA and extra-HLA regions, with a strong contribution of interferon signalling pathways. Despite novel biological agents are being tested and more frequently adopted in severe cases according to organ involvement, disease activity and the presence and extent of extragrandular

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manifestations [8], the treatment is still not satisfactory in a relevant percentage of patients, and markers of response are lacking. Few pharmacogenetics studies may provide substantial contribution to improve treatment strategies in the view of personalized medicine [9].

## 2. Common genetic factors-susceptibility and phenotype: HLA

By the late 1940s, it has been recognized a genetic susceptibility in the development of SS [10]. Thirty years later, it has been confirmed the association between specific human leukocyte antigens (HLA) and the pathogenesis of SS and recent genome-wide association studies (GWAS) have confirmed that the HLA locus still represents the strongest genetic factor to SS predisposition [11]. Indeed, among the HLA alleles, to date, the most consistent associations have been found with DR2 and DR3 alleles at the DRB1 locus in Caucasian populations [12,13]. Strong associations with anti-Ro/SSA and/or anti-La/SSB were found in patients carrying DRB1\*03 and DQB1\*02 alleles or heterozygous for DQw1 and DQw2 [14,15]. A recent meta-analysis of 1166 cases and 6470 controls of different ethnic backgrounds, derived from 23 studies, confirmed a significant risk of SS development with HLA class II alleles DRB1\*03:01, DQA1\*05:01 andDQB1\*02:01, while DQA1\*02:01, DQA1\*03:01 and DQB1\*05:01 alleles seem to have a protective effect [16]. In detail, over time, several studies have analyzed specific HLA associations in different ethnic groups: the results revealed that in North American Caucasians the risk predicted haplotype was HLA-DRB1\*0301-DRB3\*0101-DQA1\*0501-DQB1\*0201, in Japanese HLA-DRB11\*O405-DRB4\*0101 -DQA1\*0301-DQB1\*0401 and DRw53s [17], while in Chinese SS patients DRB1\*0803-DQA1\*0103-DQB1\*0601. Another study examining Chinese pSS patients found HLA-DR3, DR52 and DR2 to be significantly higher than in controls [18]. A study on Mexican SS patients found HLA-DRB1\*01:01 and HLA-B\*35:01 more present in patients than in controls, even confirming the HLA association with the production of anti-Ro/ SSA [19]. In the Columbian population, HLA-DRB1\*0301-DQB1\*0201 associations were statistically significant for patients with advanced histopathological features. In addition, they demonstrated that HLA-DRB1\*0301-DQB1\*0201 positively correlated with autoantibodies production [20]. Furthermore, Roitberg-Tambur et al. examined the HLA genes that contribute to the predisposition of SS in a cohort of patients with Jewish Israel ancestry and Greeks, non-Jewish patients. They confirmed the role of HLADQA1\*001-DQA1\*0201-DQB1\*0501 in Israelite Jews and of HLA-DQA1\*050 in Greeks [21]. Significant associations were also found in pSS patients from Southern Spain with HLA-Cw7, HLA-DR3 and HLA-DR11 [22]. In the French population, the leading association was observed with HLADRB1\* 15-DRB1\*0301 [23]. Furthermore, a study examining a pSS Italian cohort identified DR3 as correlated with autoantibodies and extra-glandular manifestations [24]. Two studies from Denmark analyzed the role of HLA alleles and SS: the first study found HLA-Dw2 frequency to be significantly increased [25], and the second study found DQA1\*0501-DQB1\*0201-DQA1\*0301 to have positive association [26]. In a Finnish study the significant haplotypes were HLA-DRB1\*0301-DQA1\*0501-DQB1\*0201 [27]. Two Norwegian studies published in 2001 showed HLA DRB1\*03-DQB1\*02-DQA1\*0501, DRB1\*0301 and DRB3\*0101 as the alleles that had association with SS patients with anti-SSA and/or anti-SSB [28,29]. Finally, other associations were seen in United Kingdom (HLA-DR3, and HLA-DRw52) [30], Hungary (HLA-DQB1\*0201-DRB1\*03-DQB1\*0501) [31] and Australia (DR3-DQA1\*0501-DQB1\*02) and the haplotypes were primarily associated to La/Ro ribonucleoproteins [32,33].

Overall, HLA variability plays a major role in genetic predisposition to SS. Moreover, there are common susceptibility variants in pSS shared with other autoimmune diseases that support a common genetic background. The identification of several peptides, including Ro/SSA and La/SSB, that bind to the HLA alleles associated with SS emphasizes the mechanistic biological aspects of the association between SS and different HLA genes, especially in terms of the biochemical characteristics of critical amino acids. In Table 1 the described associations

Table 1
Reported associations between HLA and SS susceptibility.

Susceptibilty Genes	Population(S)	Protective Genes
B*35:01	Mexicans 19	
DQA1*001	Israeli Jews <sup>21</sup>	
DQA1*0201	Israeli Jews <sup>21</sup>	DQA1*02:01 <sup>16</sup>
DQA1*0301	Japanese, Danish, Finnish, Norwegian <sup>17, 26, 27, 29</sup>	DQA1*03:01 <sup>16</sup>
DQA1*050	Greeks <sup>21no</sup>	
DQA1*0501	North Americans, Danish, Finnish,	
	Norwegian, Australian <sup>12, 26, 27, 29, 32</sup>	
DQA1*15	Chinese 18	
DQA1*103	Chinese 18	
DQB1*020	Finnish, Norwegian <sup>27,17</sup>	
DQB1*0201	North America, Columbian, Danish,	
	Hungarian, Australian; associated with Autoantibodies <sup>12, 20, 26, 31, 32</sup>	
DRB1*03	Hungarian 31	
DQB1*0501	Israeli Jews, Hungarian <sup>21, 31</sup>	DQB1*05:01 <sup>16</sup>
DQB1*0601	Chinese 18	
DRB1*0101	Mexicans 19	
DRB3*0101	North Americans, Norwegian 12,17	
DRB1*0301	North America, Columbian, French, Finnish;	
	associated with severe histology 12, 20, 23, 27	
DRB1*15	French <sup>23</sup>	
DRB1*0405	Japanese <sup>17</sup>	
DRB4*0101	Japanese <sup>17</sup>	
DQB1*0401	Japanese 17	
DRB1*0803	Chinese 18	
DRw52	UK <sup>30</sup>	
DRw53s	Japanese <sup>17</sup>	

between HLA genes with pSS are summarized.

## 3. The role of non-HLA genes

Until few years ago, most studies on the SS genetics relied on candidate gene analysis and investigated mainly the HLA system. Since genome wide association study (GWAS) started to be available, several new non-HLA genes and polymorphisms predisposing to the development of the disease were found, involving both innate, adaptive immune systems or acting as a bridge between them.

It was found that a preponderant role in the pathogenesis of pSS is played by type I interferon (IFN). In fact, the IFN system is often overexpressed in both salivary glands and peripheral blood of pSS patients, and the prominent overexpression of IFN-regulated genes leads to the so-called IFN signature [34]. Consequently, it is not surprising that most of the non-HLA pSS susceptibility gene variations involve the IFN pathway or IFN specific inducible genes (ISGs) [35–37]. Among the ISGs investigated, various single gene polymorphisms (SNPs) were identified as risk factors for the development of pSS, such as the IFN regulatory factor 5 (IRF5), signal transducer and activator of transcription 4 (STAT4), interleukin 12 A (IL12A) and 2′-5′ oligoadenylate synthetase 1 (OAS1) genes [38].

IRF5 gene encodes for a transcription factor promoting innate as well as the cell-mediated immune responses, involving both type I IFN and the toll-like receptor signalling pathways.

Both the 5-bp CGGGG insertion/deletion in the promoter of IRF5, which leads to an increased IRF5 transcription in peripheral blood mononuclear cells (PBMCs), and the rs10488631 SNP downstream of IRF5 were associated to pSS development with odds ratios (ORs) >1.4. Similar results were obtained with STAT4 rs7582694 and an additive effect between IRF5 and STAT4 alleles have been proposed [39,40]. Although STAT4 is not considered a direct member of the type I IFN pathway, it is a transcription factor mainly activated by IL-12 which promotes IFN- $\gamma$  secretion and T-helper 1 cell differentiation. Consequently, it could represent a bridge between innate and adaptive immune responses, and it is considered a shared risk factor for the development of autoimmune diseases [41]. In fact, the relationship

between SNPs of IRF5, STAT4 and IL12A genes with pSS and other autoimmune diseases has been confirmed by several independent studies, though not in all the populations tested [42–48].

OAS1 is another ISG, and it encodes for a protein with enzymatic activity involved in viral clearance. This gene may have various functional isoforms (e.g. p42, p44, p46, p48) with consequently various protein expressions and different enzymatic activities. Considering that viral infections are one of the supposed triggers of pSS, this could explain why OAS1 polymorphisms, like rs10774671 have been identified and confirmed as a risk factor for the development of the disease [49]. Regarding the genetic polymorphisms linked to the adaptive immunity, most of them involves B lymphocytes differentiation and activation, like early B cell factor 1 (EBF1) gene, B lymphocyte kinase (BLK) (rs12677843) and family with sequence similarity 167 (FAM167) (rs12549796) [46].

Various other gene polymorphisms have been described as risk factors for the development of the disease, such those of cluster of differentiation 28 (CD28)(CD28 GC haplotype OR 2.5- folds, P < 0.001), cytotoxic T-lymphocyte–associated antigen 4 (CTLA4), the protein tyrosine phosphatase non-receptor type 22 (PTPN22W), tumor necrosis factor (TNF)  $\alpha$  (308 A allele), interleukin 10 (IL-10)(1082 G allele) and C-X-C chemokine receptor type 5 (CXCR5) [50–52].

All of them are involved at various levels in the lymphocytes activities like tissue migration (CXCR5), activation or proliferation (CD28, CTLA4), receptor responsiveness (PTPN22W), immunoglobulin secretion (IL-10). For example, CTLA4 acts as an inhibitory molecule, inhibits cellular cycle progression and transcription factors such as nuclear factor kappa-light-chain enhancer of activated B cells (NK-kB), avoiding non-physiological T cell proliferation.

It has been observed that CTLA4 haplotypes resulting in a deregulation of CTLA4 activity, increase the risk of pSS [50,53,54].

One of the most studied genes, whose polymorphisms have been confirmed as a risk factor for the disease, is the tumor necrosis factor ligand superfamily member 4 (TNFSF4). This encodes for the cytokine ligand TNFSF4, also called OX40L, which influences both innate and adaptive immunity. In fact, it regulates the activity of dendritic cells, B and T lymphocytes and it is important for antibody production [55]. Therefore, TNFSF4 is a bridge between innate and adaptive immune systems as well as the Wnt/ $\beta$ -catenin signalling pathway. It is therefore not surprising that the TNFSF4 rs2205960 polymorphism and the several variants in the Wnt/ $\beta$ -catenin signalling pathway, such as rs606989 in LRP5, rs409238 in FRZB, rs2037547in GSK3B and rs2241766 in ADIPOQ, have been found linked to pSS susceptibility [56–58]. In a case-control study from Mexico, an association between TNFSF4 rs1234315C/T and pSS was observed (OR 1.28, p=0.04), however, after Bonferroni correction, this association was lost [59].

Nevertheless, there are some polymorphisms which mostly influence the disease phenotype more than the disease susceptibility. For instance, lymphotoxin  $\alpha$  (LTA) and lymphotoxin  $\beta$  (LTB) are essential in lymphoid organogenesis and the maintenance of tertiary lymphoid tissues, and some variants have been associated with anti-SSA and anti-SSB anti-body-positive pSS [60,61]. Differently, some genetic variants are associated with the risk of lymphoma development: the three-prime repair exonuclease 1 (TREX1) (OR [95 % CI]: 0.4 [0.2–0.9], p-value: 0.02), the methylene-tetrahydrofolate reductase (MTHFR), the B-cell activating factor (BAFF), or TNF alpha induced protein 3 (TNFAIP3).

In addition, specific BAFF variants seem to represent a risk factor for higher disease activity and the presence of autoantibodies [62–64], and it was demonstrated that rs12583006 was significantly related to pSS susceptibility in Chinese population [65].

TNFAIP3 gene encodes for the A20 protein, exerting a negative feedback regulation on nuclear factor kB (NF-kB) and consequently on adaptive immune response. Moreover, it may serve as an important onco-suppressant. Polymorphisms of TNFAIP3 and functional abnormalities of A20 increase the risk of several autoimmune diseases [66] and rs2230926 variant allele marked up the risk of lymphoma in pSS in

Caucasian and Asiatic cohorts, despite it does not correlate with an increased risk of pSS alone (odds ratio, 3.36 [95 % confidence interval, 1.34–8.42) [67]. Interestingly, in an Italian cohort, TNFAIP3 gene rs2230926 SNP does not seem to associate with the risk of pSS, differently from the rs6920220 SNP [68]. Other distinct genetic variants have been found to be associated with the presence of glandular germinal centre-like structures, including SNPs in CCL11, AICDA, BANK1, BCL2 IL17A, ICA1, PKN1 and SNPs in the NF-κB pathway genes CARD8, IKBKE and TANK. A higher focus score was associated with the presence of the minor allele of the rs11575837 polymorphism within the promoter of Natural Cytotoxicity Triggering Receptor 3 (NCR3)/ NKp30, which normally encodes a natural killer (NK) specific receptor regulating the cross talk between NK and dendritic cells as well as type II IFN secretion [69,70].

Several other SNPs in different genes (GTF2I, PTTG1, MBL2, TAP2, CFLAR, NFKBIE, APOM and NOTCH4) have been already reported as associated with pSS and other various autoimmune diseases, such as rheumatoid arthritis and SLE, and others showed suggestive associations (PTTG1, PRDM1, DGKQ, FCGR2A, IRAK1BP1, ITSN2, and PHIP), although further evidence are needed [38,44].

In the last year, a relevant contribution came from a GWAS conducted by Khatri et al. [71] They identified ten genome-wide significant regions in Sjögren's cases of European ancestry: CD247, NAB1, PTTG1-MIR146A, PRDM1-ATG5, TNFAIP3, XKR6, MAPT-CRHR1, RPTOR-CHMP6-BAIAP6, TYK2, SYNGR1. These genes are involved in several pathways of immunity including abovementioned TNFAIP3 and intracellular signalling.

In conclusion, several genetic polymorphisms may increase the risk of pSS development. However, it could be hard to understand the true functional impact of these genetic variants. More studies, especially functional as well as studies of genes interactions are still required.

## 4. Epigenetics

Over the last decade, many studies have been published with the aim of explaining the correlation between epigenetic modifications and the development of autoimmune diseases. Epigenetic modifications are heritable and reversible alterations that induce upregulated or downregulated gene expression, without changes in the sequence of bases in the DNA. They play a role in silencing or promoting the expression of coding sequences. Typical epigenetic modifications are DNA methylation, modifications of histones, and the expression of non-coding RNAs (ncRNAs). Moreover, they act by regulating the aberrant differentiation and activation of immunocytes and promoting the production of proinflammatory cytokines in autoimmune disease, including primary pSS [72,73].

# 4.1. DNA methylation

DNA methylation is a mechanism that transfers a methyl group by DNA methyltransferases (DNMTs) from S-adenosyl-methionine (SAM) to the carbon-5 position of the cytosine residue in CpG dinucleotides, generating 5-methyl cytosine (5-mC). Methylated CpG sites induce the structural changes of chromatin, making it difficult for transcriptional factor binding. CpG islands enriched in CpG base pairs are mainly distributed in the promoter and first exon regions of genes. Thus, DNA methylation is a signal of gene silencing. Demethylation of DNA is the removal of a methyl group from cytosine through a serial process starting from the oxidation of 5mC to 5-hydroxymethylcytosine (5hmC), and demethylation of genes indicates enhanced gene expression [74]. Many alterations in DNA methylation have been described in minor salivary gland (MSG) epithelial cells and lymphocytes in SS patients.

For example, Yin et al. determined the methylation status of the TNFS7 promoter region [75] and reported hypomethylation at the TNFSF7 promoter, which correlated with CD70 overexpression in pSS CD4+ T cells. Demethylation of the CD70 promoter regulatory elements

contributes to CD70 overexpression in pSS CD4(+) T cells and may contribute to autoreactivity [76].

A genome-wide DNA methylation study in naïve CD4+ T cells in patients with pSS identified 553 hypomethylated CpG sites and 200 hypermethylated CpG sites. The hypomethylated genes in patients with pSS included LTA, CD247, TNFRSF25, PTPRC, GSTM1, and PDCD1. The IFN signature pathway was involved by hypomethylation of STAT1, IFI44L, USP18, and IFITM1. In addition, the transcription factor gene RUNX1, that regulates the differentiation of hematopoietic stem cells into mature cells and has been linked to cancer predisposition, was hypermethylated in patients with pSS, suggesting a possible connection to lymphoma predisposition. This is the first epigenome-wide DNA methylation study in patients with pSS [77].

Luo X et al. [78] identified in monocytes from pSS patients 2819 differentially methylated positions (DMPs), comprising 1977 hypomethylated- and 842 hypermethylated-DMPs, corresponding to 1313 unique genes when compared with controls. It was shown that, among many, the most differentially hypomethylated genes were the IFN-related genes and those involved in the Notch signalling pathway. Chi et al. [79] observed a total of 19 DMR-MeQTL pairs that exhibited strong evidence for a causal mediation relationship. Close to half of these DMRs reside in the MHC and their corresponding meQTLs are in the region spanning the HLA-DQA1, HLA-DQB1, and HLA-DQA2 loci.

# 4.2. Histone modifications

Aberrant histone acetylation plays important role in the pathogenesis of autoimmune diseases. Histone proteins are essential for nuclear architecture, and they are involved in regulatory processes of gene transcription by modifying the accessibility of chromatin for the transcription machinery. Histones are enriched with basic lysine and arginine residues, especially in the N-terminal tails, which are feasible for several post-translational modifications (PTMs), like methylation, acetylation, phosphorylation and ubiquitination. These modified histones lead to altered chromatin structures or act as binding sites for nonhistone regulators, resulting in varied gene expression [80]. Multiple enzymes are involved in histone modifications, such as histone deacetylases (HDACs), histone acetyltransferases (HATs) and histone methyltransferase (HMTs). They have been reported to be involved in T- and B-cell responses. Histone modifications studies in pSS patients are still quite elusive. Lv et al. investigated whether there was abnormal histones acetylation in patients with pSS. This study found that the messenger (mRNA) expression of p300, CREBBP and PCAF (histone acetyltransferase genes) in PBMCs from pSS patients was decreased in comparison with healthy controls and HAT activity and histone H3/H4 acetylation were reduced [81].

# 4.3. ncRNAs

Non-coding RNAs (ncRNAs) are single-strand RNA molecules transcribed from the genome. They do not encode functional proteins but are regulatory RNAs. Small non-coding RNAs (miRNAs) are 19–22 nucleotides in length, whereas long non-coding RNAs (lncRNAs) are longer than 200 nucleotides. MicroRNAs act as negative modulators of gene expression by binding to complementary sequences of their target mRNAs while the mechanism of action of lncRNAs is still unclear [82].

Many dysregulated miRNAs have been found in SS patients and the most investigated is miR-146. Pauley et al. [83] examined the expression of miR-146a in the PBMCs of pSS patients. The miR-146a expression was significantly increased in pSS patients compared to healthy controls. In addition, functional analysis revealed the role of miR-146a in stimulating phagocytic activity and suppressing inflammatory cytokine production. Zilahi et al. [84] measured both the expression of miR-146 and its target genes IRAK1, IRAK4 and TRAF6 in the PBMCs of SS patient and healthy controls using qPCR. They founded that both miR146 and TRAF6 were significantly overexpressed in pSS patients, whereas the

expression of IRAK1 gene was significantly decreased and the expression of IRAK4 did not differ significantly. Finally, Shi et al. [85] demonstrated an overexpression of miR-146a in PBMCs of the patients with SS. Furthermore, the expression levels of this miRNAs correlated with patients' clinical features. More recently, Zhang et al. [86] investigated the role of miR-155-5p in SS. Stimulation of salivary gland epithelial cells (SGECs) with IFN- $\gamma$  increased the levels of miR-155-5p, while also inducing apoptosis, these effects were reversed by miR-155-5p knockdown. This response seems to be mediated by an overactivation of NF-kB pathway through the elimination of one of its inhibitors, arrestin  $\beta 2$ . A study conducted by Peng et al. [87] on a Chinese population, using microarray analysis, has shown miR-181a as the miRNA that most profoundly differed between PBMCs of patients with pSS and healthy individuals. In particular, miR-181a levels were highly increased in SS patients.

Jara et al. [88] showed that pSS is characterized by an overactivation of type I IFN pathway. They demonstrated that type I IFNs decrease expression of hsa-miR-145-5p, a miRNA with anti-inflammatory roles, leading to upregulation of MUC1 and TLR4, two relevant gene targets that are overexpressed in pSS patients and contribute to SG inflammation and dysfunction.

Non-Hodgkin's lymphoma (NHL) is the major and the worst adverse outcomes of Sjogren's syndrome. Kopsogeorgou et al. [89], using qPCR, investigated the expression of miR200b-5p in the minor salivary gland of three groups of patients (without lymphoma, pre-lymphoma and SSassociated lymphoma). They showed that long before the clinical onset of the lymphoma, miRNA miR-200b-5p is found to be significantly downregulated in the minor SGs of pSS patients, indicating that this epigenetic regulation may be involved in the progression to non-Hodgkin B-cell lymphoma. Unlike miRNAs, research regarding the differential expression and putative functional role of lncRNAs has not received extensive attention in SS. One of the first studies considered the expression of TMEVPG1, a lncRNA that contributes to IFN-γ expression. Using qPCR, Wang J et al. [90] investigated the expression of TMEVPG1 in CD4+ T cells of SS patients, showing its up regulation. In addition, the level of expression of TMEVPG1 was correlated with the level of SSA, ESR and IgG.

In a recent study, Chen et al. [91] investigated differentially expressed long non-coding RNA in PBMCs in patients with pSS to search for lncRNAs that could affect pSS pathogenesis. The results were validated by RT-qPCR and they showed that GABPB1-AS1 was significantly up-regulated in pSS patients, and its expression level is positively correlated with the percentage of B cells and IgG levels.

All these findings highlight a role of epigenetic modifications in pSS. Accordingly, it could be considered the possibility of taking epigenetic targets as a strategy for SS therapy.

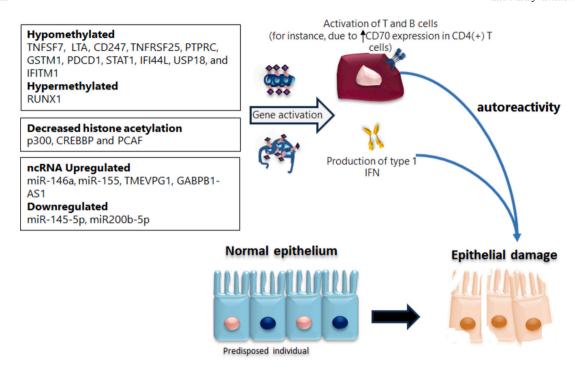
In Fig. 1 are summarized potential epigenetic mechanisms of immune system activation leading to tissue damage in SS.

#### 5. Transcriptomics

In the last decades, —omic approaches have become one of the main strategies to investigate the pathogenesis of pSS, due to their ability to provide a comprehensive picture of the biology in multiple cell populations and tissues. Their power is further amplified by advanced bioinformatics approaches.

It is beyond the purpose of this review to provide a detailed insight on all the evidence on the topic. Most data derived from transcriptomic studies demonstrated the so-called IFN signature, especially in anti-SSA/Ro and anti-SSB/La positive subjects [92]. In fact, a large proportion of differentially expressed genes (DEG) in SS patients compared to healthy controls (HC) are involved in signal pathways regulated by type I and II IFN. Analysis of DEGs have been performed in multiple tissues, fluids, and cell populations, including salivary glands, saliva, circulating B cells, dendritic cells, and monocytes.

DEGs identified in SS are involved in a large number of biological



**Fig. 1.** Potential epigenetic mechanisms of immune system activation leading to tissue damage in SS. An appropriate genetic background is believed to trigger pSS. Epigenetic factors can modify genetic expression in key pathways through several mechanisms. Genes that appear hypomethylated are negative regulators or suppressors of immune responses while hypermethylation of RUNX1, a regulator of the differentiation of hematopoietic stem cells into mature blood cells, possibly promotes lymphomagenesis. mRNA expression of p300, CREBBP and PCAF, that are histone acetyltransferase genes, seems decreased in pSS and could be implicated in abnormal T and B cell responses. Further dysregulation of ncRNAs may alter the expression of target genes implicated in the immune response finally leading aberrant homing and activation of T cells and B cells, possibly for instance through increased expression of CD70 on T lymphocytes. Promotion of autoimmunity, further enhanced by plasmocytoid dendritic cells which produce high levels of type I interferon, is the key step to sustaining epithelial damage.

processes, ranging from the initial dysregulation of the immune system to salivary gland infiltration, damage and function.

## 5.1. Immune system regulation and activation

Among the multiple DEGs described, some may be of particular significance, due to their key role in the regulation of the immune response. As an example, the gene SAMD9L is involved in the innate immune response, along with DTX3L and TAP2 (antigen presentation), PLSCR1 (enhances IFN-mediated response), FCGR2A (IgG Fc fragment receptor) and multiple toll-like receptors that have been described as upregulated in plasmacytoid dendritic cells (pDC) [93,94]. Genes involved in lymphocyte activation such as CD53, PTPRC and IFI16 were also found to be upregulated in SS SGs [93-95]. Very interestingly, significant differences of the transcriptome between pSS and healthy controls SG samples seem to be evident only when inflammatory infiltrates and periductal areas are compared, while no significant differences in acinar tissue were found. This observation is in line with the preferential involvement of periductal areas as clearly described in pathologic specimens of SS salivary glands [96]. Studies focused on circulating B cells confirmed the type I and II IFN signature and found numerous genes upregulated in SS, including numerous TNF superfamily members and Janus kinase(JAK)/STAT pathway regulators [97,98]. Moreover, salivary gland endothelial cells under-expression of peroxisome proliferator activated receptor (PPAR)y, especially in patients with severe inflammatory infiltrates and higher prevalence of lymphoma, may confirm its anti-inflammatory function and aberrant activity in SS, thus suggesting a pathogenic role [99].

## 5.2. Immune cell migration

An upregulation of the SELL gene, encoding a cell adhesion molecule involved in leukocyte migration and homing towards lymphoid organs,

has been demonstrated in SS salivary glands, along with the upregulation of chemokines, such as CCL21, CXCL10, CXCL12 and several receptors (CCR)1, CCR5, CCR7 [100]. Additionally, signal transduction and activator of transcription (STAT)1 and arachidonate 5-lipoxygenase were demonstrated to be involved in the inflammatory response [101].

# 5.3. Cell apoptosis

As an additional altered mechanism in pSS, an increased expression of apoptosis-associated genes (such as FAS, CASP1) and proteasome subunits has been demonstrated in PBMCs and pDCs [93–95,100], along with molecules involved in the regulation of oxidative stress and metabolic processes [100].

The analysis of transcriptome through bioinformatics techniques may also allow to identify and stratify SS patients into distinct subgroups, according to gene expression. As an example, analysing SG transcriptome, Min et al. were able to identify two clusters of SS patients, the first included patients with a high inflammatory status, characterized by an upregulation of genes involved in B and Th1 cell activation; the second cluster included subjects with a low inflammatory signature and some activation of Th17-related genes. Subjects in cluster one were resistant to rituximab, unlike those in cluster 2 [102]. These observations seem to fit very well with real-life experience, where these distinctions are almost universally accepted. Even more interestingly, transcriptomics allowed to find an association between actin-related signalling pathways and fatigue [103]. Moreover, some investigations found significant differences of the transcriptome between male and female SS patients [104,105]. Future studies on this topic may represent a key to further understand the mechanisms underlying the sex-related differences in terms of disease incidence and prevalence.

#### 5.4. MicroRNAs

Transcriptomic analysis is not necessarily limited to mRNA. In fact, the first paper analysing microRNAs (miRNA) in SS was published in 2011, when the authors analyzed minor SG tissue and found that differentially expressed miRNAs in SS compared to HC were essentially involved in the control of inflammation and of exocrine gland function. Even more interestingly, miRNA profiling could effectively stratify SS patients according to low or high focus score (FS), being miR-768-3p and has-miR-574 the most informative transcripts [106]. Numerous other data on miRNAs followed, describing a dysregulation of miRNAs involved in the expression of the autoantigens SSA/Ro and SSB/La, that may even have a pathogenic function [107], the upregulation of miRNA-181a in SS PBMCs, possibly involved in the autoreactivity of B cells [108] and of miR-30, miR-17/92, miR-200, miR-let-7 families and has-miR-5100, whose levels inversely correlate with salivary flow, possibly through the modulation of the enzyme GALNT1 [109–112].

Shaw et al. [113] detected major differences in the regulation of X-linked genes from pSS patients and control subjects. In pSS female minor salivary gland-derived mesenchymal stromal cells (MSCs), X-linked genes exhibited preferential expression from one of the two X chromosomes. pSS MSCs show decreased levels of miR6891-5p, a HLA-expressed miRNA, this inhibition causes allelic skewing and H3K27me3 dysregulation.

Gong et al. demonstrated that mesenchymal stem cells negatively regulate CD4+ T cell activation through the miRNA 125b and miRNA 155 TCR pathway. So, expression levels of miRNA-125b-5p and miRNA-155 in CD4+ T cells are associated with disease activity [114].

Instead, Zhang et al. found that overexpression of miR-155-5p promoted IFN- $\gamma$ -induced inflammation [115].

Additionally, predictive target analysis suggests that the dysregulation of miRNA expression in SS monocytes may contribute to the pathogenesis of the disease by inhibition of the transforming growth factor (TGF) $\beta$  pathway, rather than modulating pro-inflammatory signals. Many other differentially expressed miRNAs have been identified, however their function remains unknown and further research is required in order to enrich the complex picture of SS pathogenesis. Moreover, the finding of co-expression of specific couples of nongenetically linked miRNAs in SS monocytes may represent a potential diagnostic biomarker of the disease.

# 5.5. Long non-coding RNA

Long non-coding RNAs (lncRNA) are transcripts of  $>\!200$  nucleotides that do not code for peptides but can interact with other molecules, such as mRNA and DNA exerting modulatory functions. Some lncRNAs were found to be differentially expressed in SS compared to HC. Although the functions of numerous lncRNAs are still largely unknown, differential expression of transcripts involved in the regulation of IFN-inducible genes, regulators of IFN $\gamma$  and TNF $\alpha$  may be significant findings [116]. Additionally, the correlation of some lncRNAs expressed in MSGs with  $\beta$ 2-microglobulin, erythrocyte sedimentation rate (ESR), IgA levels and RF positivity may suggest some pathogenic mechanisms of lncRNAs, such as a contribution to the inflammatory status and autoimmunity [117]

For example, a lncRNA called LINC01871 that is IFN $\gamma$  inducible, influences expression of many immune cell genes and growth factors and regulated by calcineurin signalling and TCR ligand engagement. Altered LINC01871 expression may influence the dysregulated T cell inflammatory pathways implicated in pSS [118].

Instead, Amezcua-Guerra et al. [119] analyzed the expression levels of an IFN- $\alpha$ -inducible lncRNA, MALAT1, and several kinds of IFN-stimulated genes and chemokines in the PBMCs of the patients with SS. They showed an unusually high capacity of PBMC to express ISG and to produce interferon-responsive chemokine, suggesting a possible benefit from therapies targeting these molecules in patients with pSS.

Despite the analysis of transcriptome is a valuable tool to investigate the biology of SS, it will unlikely be enough to fully unravel the complex pathogenesis of the disease. In fact, the status at the time of diagnosis is not necessarily representative of pathogenic changes, which may take place months to years before SS becomes clinically evident. Studies performed on mouse models of SS have in fact demonstrated that the transcriptome changes profoundly during the development of the disease and significant differences can be appreciated between salivary and lachrymal glands [120,121].

## 6. Pharmacogenomics

Pharmacogenomics is a field of research that studies the relationship between inter-individual genetic variability and the variability in the response to drugs, both in term of efficacy and toxicity. The study of the genomic profile can give an important contribution to improve effective drug selection to avoid adverse drug reactions and to maximize drug efficacy [122]. There are very few studies conducted on patients with pSS to evaluate the genomic profile and the response to treatment and unfortunately the application of pharmacogenomics in clinical practice is still limited [123,124]. Moreover, so far there are no approved biological drugs for the treatment of pSS.

Several studies were performed in patients with rheumatoid arthritis treated with anti-CD20 rituximab (RTX) treatment [125]. The presence of specific variations in FCGR3A, FCGR2A, TGF $\beta$ 1, IL-6, IRF5, BAFF genes could be used to predict response to this drug [126,127]. Pharmacogenetic analysis of belimumab failed to identify robust genetic predictors of efficacy in patients with systemic lupus erythematosus [128]. In a post hoc meta-analysis of belimumab trials BLISS-52 and BLISS-76, a tendency towards improved response to add-on intravenous belimumab 10 mg/kg versus standard of care alone in patients with high baseline BLyS protein and IFN-1 mRNA levels and medium/high BLyS mRNA levels were demonstrated [129]. Only one study was performed on patients with pSS by Quartuccio et al. [130] The authors suggested that type I IFN signature may affect the magnitude of biological effect of belimumab on immunoglobulin production, including rheumatoid factor, thus possibly reducing the risk of lymphoma [130].

So far, there is no approved biologic for the treatment of pSS [131]. Nonetheless, several trials are ongoing on molecules with different targets, including B and T cell signalling, B cell depletion, inhibition of key cytokines (Fig. 2).

Considering B cell depletion, besides anti-CD20 rituximab, also obinutuzumab has been tested in patients with pSS immunized against rituximab [133] but conclusive data are lacking. More data are available on epratuzumab (anti-CD22) which showed in a phase I/II study 50 % of response on Schirmer's test, unstimulated whole salivary flow, fatigue as measured on a VAS, and laboratory parameters including erythrocyte sedimentation rate and IgG titres [134]. Notably, despite promising results, the drug is no longer tested. Considering B cells as a target, belimumab acts inhibiting BAFF, while ianalumab is an anti-BAFF-R monoclonal antibody and iscalimab is an anti-CD40. All these molecules can inhibit (and can deplete B cells), ianalumab being the only to have reached the primary end points (Improvement in SGUS and in ESSDAI) in a phase II clinical trial [131]. Several genes can modulate this pathway, including BAFF and CD40, but also Jak1 and TNFSF13B [135]. Considering other targets, seletalisib by inhibiting PI3k $\delta$  could regulate T and B cell responses while among BTK inhibitors, remibrutinib seems promising having achieved primary end point in phase II clinical trial, differently from tirabrutinib that failed to meet primary end point in a similar RCT. Some drugs which are well known for treatment of other diseases, such as the anti-TNF infliximab, the anti-IL-6 tocilizumab, and the anti-IL12/23 ustekinumab have shown no significant improvement or a paucity of data [131]. The question arises whether a pharmacogenetic tailored approach could have improved results from these drugs. Trials on JAK inhibitors including tofacitinib are still ongoing. These are interesting molecules given the broad

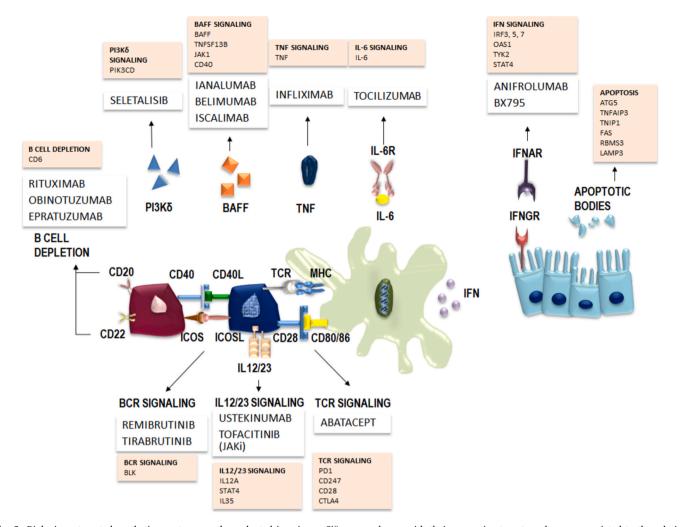


Fig. 2. Biologic or targeted synthetic agents currently evaluated in primary Sjögren syndrome with their respective targets and genes associated to the relative signalling pathway. Randomized controlled trials evaluating the effectiveness of novel drugs in pSS showed a potential for agents acting through several mechanisms targeting both innate and adaptive immune pathways. In the figure, the signalling pathway or the target cytokine is shown, in the white boxes is referred to the drugs acting on each specific pathway or cytokine. In coloured boxes the most relevant genes for each pathway are reported. These genes may a) be themselves a target for treatment, b) represent a possible modulator of therapeutic response. BAFF, B cell-activating factor; BCR, B cell receptor; TCR, T cell receptor (modified from [132]).

spectrum of molecules that they can modulate. Moreover, SNPs in JAK1, JAK2, JAK3 or in STAT signalling pathway could influence and predict treatment response of these drugs. Finally, anti-interferon strategies, which include anifrolumab, an inhibitor of type I IFN receptor (IFNAR1), and BX795, a TBK1 inhibitor that downregulates IRF3 and IRF7 signalling, are other promising strategies in pSS given the strong influence of the IFN signature in these patients. BX795 in an in vitro study showed that was able to reduce the expression of IFN-stimulated genes in PBMCs from pSS patients with a type I IFN signature [136]. Furthermore, OAS1, TYK2 and STAT4 are possible genes that, together with those of the IFN signature, may regulate the efficacy of these drugs.

Being pSS an autoimmune epithelitis, the epithelium itself is another potential target of novel therapies. A dysregulated apoptosis of salivary gland epithelial cells is believed to fuel the initial stages of autoimmunity. There are several genes that regulate apoptosis and that can be abnormally expressed in pSS including ATG5, TNFAIP3, FAS, LAMP3 and RBMS3 [137,138], and there is evidence that their mRNA expression can be a marker of response to treatment as shown for hydroxychloroquine [139].

Thus, we eagerly wait for the approval of novel treatment for pSS that could be possibly tailored also on the basis of genetic or epigenetic individual signatures.

# 7. Genetic and Sjogren: another link to ASIA syndrome

In 2011 Yehuda Shoenfeld and Nancy Agmon-Levin coined the term Autoimmune/inflammatory syndrome induced by adjuvants (ASIA) to describe an "umbrella" of clinical conditions namely siliconosis, Gulf war syndrome, macrophage myophasciitis syndrome, sick building syndrome and post-vaccination phenomena which share similar signs or symptoms [140]. Since then, several diseases have been possibly ascribed to be, at least in some circumstances, associated with ASIA if not another branch of the same tree [141]. In 2014 we described the possible associations between ASIA and pSS since these two conditions share clinical and pathogenic aspects [142]. Xerostomia and xerophtalmia are among proposed classification criteria for ASIA syndrome and there are experimental animal models in which adjuvants, specifically alum, may induce a Sjogren's like disease [143]. Borba et al. included pSS in the classical prototypes of ASIA syndrome [144]. It is now clearer that some genetic predisposition factors could be responsible for susceptibility to both these conditions. Among HLA haplotypes, [145], the DRB1\*03 seem to be a possible link among the two conditions [146]. When considering non-HLA genes, PTPN22 variants do not seem to be associated with pSS but patients with pSS may present markedly increased expression of this molecule especially in patients with active disease and elevated levels of anti-SSA/Ro and anti-SSB/La

autoantibodies [147]. Moreover, a genetic interaction between TRAF1-C5 and TNFAIP3 or TNFAIP3, PTPN22, and TRAF1-C5 SNPs may represent a risk factor for pSS [148]. Appreciating the correct depiction of susceptible individuals to ASIA and pSS and the functional implications of related gene-variants could provide further insights into our understanding of diseases mechanisms and heterogeneity.

#### 8. Conclusions

To conclude, the multitude of factors contributing to the pathogenesis of pSS are also capable of determining the clinical manifestations and the response to treatment. A better knowledge of these factors will allow us to earlier detect and diagnose the patients and to implement more effective and individualized treatments in the perspective of precision medicine.

## Declaration of competing interest

Conflict of interest No funds, grants, or other support were received. The authors have no relevant financial or non-financial interests to disclose.

All authors contributed to the study conception and design. All authors read and approved the final manuscript.

#### Data availability

No data was used for the research described in the article.

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